College of the Arts Georgia State University DRAFT PROMOTION MANUAL FOR NON-TENURE TRACK FACULTY

Policy Title:	College Promotion Manual for Non-Tenure Track Faculty
Version:	2.6
College Approval:	August 27, 2021
University Approval:	August 31, 2021
Effective:	August 31, 2021

I. INTRODUCTION

- 2 The policies and procedures related to the review and promotion of Faculty in non-tenure
- 3 track ranks are outlined in this document, the University Promotion Manual for Non-
- 4 Tenure Track Faculty, and School/Department/Institute (hereafter "Department")
- 5 guidelines.

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- 6 As noted in the University manual, promotion decisions for NTT Faculty are based on
- 7 discipline-specific criteria as determined by Department and College Faculty, but
- 8 satisfaction of these criteria should reflect equivalent levels of accomplishment across the
- 9 College and the University. Although NTT Faculty members in different Departments are
- engaged in varied forms of teaching, service, and scholarly activity, with differential
- emphasis on each of these activities, the quality and significance of achievement
- appropriate to the discipline in question should be comparable.
- Whereas the University NTT manual provides a general statement of the expected quality
- and significance of NTT Faculty accomplishments, this College manual and related
- Department guideline documents identify the concrete forms these achievements should
- take. Additionally, the College manual provides detailed procedural information about the
- 17 College NTT promotion review process, as well as related periodic NTT reviews.
- 18 The College of the Arts NTT manual is reviewed and periodically revised by the College
- 19 Bylaws and Promotion & Tenure Guidelines Committee. In keeping with University
- 20 requirements, if there are substantive revisions in College or University NTT manuals, the
- 21 College NTT manual also must be reviewed and approved by the Provost.
- 22 The promotion policies and procedures established by the College and Georgia State
- 23 University for NTT Faculty conform to the requirements of the Board of Regents.
- Specifically, these policies and procedures conform to Section 8.3 of the BOR Policy Manual.
- 25 Individuals employed in non-tenure track positions shall not be eligible for consideration
- 26 for the award of tenure (BOR Policy Manual Section 8.3.8).
- 27 The following two NTT Faculty positions in use in the College of the Arts are eligible for
- promotion. For each position, the ranks used within the College of the Arts have been listed
- in parentheses starting with the lowest rank and ending with the highest possible rank.
- 1. Lecturer (Lecturer, Senior Lecturer, Principal Senior Lecturer)
- Academic Professional (Academic Professional, Senior Academic Professional,
 Principal Academic Professional)
- In addition to the NTT Faculty titles listed above, the position of Instructor is also in use in
- the College. However, at Georgia State University there is no promotion path for NTT
- 35 Faculty holding the position of Instructor.

II. DESCRIPTIONS OF NTT FACULTY POSITIONS

- 37 The following is a description of each of the two NTT Faculty positions listed in Section I.
- Within each position, the duties and responsibilities are listed in order of importance for

- 39 that position. The duties and responsibilities are divided into the categories of teaching,
- 40 service, and research and creative activity.
- 41 A. Lecturer
- 42 1. Teaching
- 43 The primary responsibility of Lecturers is teaching.
- 44 2. Service
- 45 As part of their workload, Lecturers are expected to engage in service activities. These
- 46 activities may include advising and serving the academic needs of students, serving on
- 47 committees, or participating in other forms of academic service. Service may be at the
- Department, College, and/or University level. Service also may involve activities related to
- 49 the profession and the community.
- 50 3. Research and Creative Activity
- Lecturers are not required to engage in research and creative activities. Nonetheless,
- 52 Lecturers are expected to be familiar with current trends and methods in their discipline.

53 B. Academic Professional

- As per Board of Regents requirements, a title from the Academic Professional track "may
- not be assigned to a position where the teaching and research responsibilities total 50% or
- more of the total assignment" (BOR Policy Manual, Section 8.3.8.3).
- 57 The designation Academic Professional would apply to a variety of academic assignments
- 58 that call for academic background similar to that of a Faculty member with professorial
- 59 rank, but which are distinctly different from professorial positions (BOR Policy Manual
- 60 Section 8.3.8.3).
- 61 The Academic Professional position requires an appropriate terminal degree, or in rare and
- 62 extraordinary circumstances, qualification on the basis of demonstrably successful related
- experience, which exception is expressly approved by the institution President (BOR Policy
- 64 Manual Section 8.3.8.3).
- 65 1. Service
- The primary responsibility of an Academic Professional is service, which includes activities
- 67 such as:

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a. Managing instructional laboratories or studios;

- b. Assuming academic program management roles not suited for expectations applied
 to tenure track Faculty members, operating instructional technology support
 programs;
- 72 c. Assuming professional student counseling center responsibilities, providing 73 specialized skill acquisition training as support for academic programs; and,
- d. Working with tenure track Faculty members in course and curriculum development and in the laboratory or studio.
- 76 2. Teaching
- As part of their workload, Academic Professionals may be expected to engage in teaching
- 78 activities.

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- 79 3. Research and Creative Activity
- 80 The College of the Arts does not require Academic Professionals to engage in research and
- creative activities. Nonetheless, Academic Professionals are expected to be familiar with
- 82 current trends and methods in their discipline.
- 83 III. COLLEGE NON-TENURE TRACK REVIEW PROCESS FOR PROMOTION TO SENIOR
- 84 LECTURER, PRINCIPAL SENIOR LECTURER, SENIOR ACADEMIC PROFESSIONAL, AND
- 85 PRINCIPAL ACADEMIC PROFESSIONAL
- 86 A. Process Overview
- The primary stages of the College NTT promotion review process are described below.
 Specific dates will be assigned to each step in a review calendar issued in advance of the review cycle each year.
- 1. The Dean's Office notifies all candidates of their eligibility for promotion, with copy to the Department Chair/Director (hereafter referred to as "Department Chair").
- 2. The candidate submits review materials to the Department Chair.
 - The Department Chair forwards the candidate's materials to Department NTT
 promotion committee (or subcommittee for initial review, but the final
 recommendation must be made by the committee as a whole).
 - 4. The Department committee submits its recommendation, including any minority reports, to the Department Chair. Members of the committee must not be identified to the candidate; therefore, the signatures must appear on a separate page so that they can be removed when the candidate is provided with his or her copy of the committee's report(s). The candidate will receive a copy of the Department committee's recommendation, including any minority reports, and will have the option to respond to the Department Chair within three business days of receiving the Department committee report.
 - 5. The Department Chair submits his/her recommendation and the recommendation of the Department committee, including any minority reports and any responses

- from the candidate, to the Dean's Office. The candidate will receive a copy of the
 Department Chair's recommendation and will have the option to respond to the
 Dean's Office (with copy to the Department Chair) within three business days of
 receiving the Department Chair's report. The Dean's Office forwards the reports
 from the Department committee and the Department Chair, including any minority
 reports and any responses from the candidate to the College NTT promotion
 committee.
 - 6. The College NTT promotion committee submits its recommendation, including any minority reports, to the Dean's Office. The candidate will receive a copy of the College committee's report and will have the option to respond to the Dean within three business days of receiving the College committee's report.
 - 7. The Dean submits his or her recommendation and all review materials, including any prior responses from the candidate, to the Provost's Office. The candidate will receive a copy of the Dean's report. If the Dean's recommendation is negative, the candidate may appeal to the Provost within ten business days, and the Provost will provide the candidate and the Dean with a written decision, including bases upon which the appeal is supported or rejected. If the Dean's recommendation is positive, the candidate will not have the option to respond to the Provost.
- See section IV below for information on the evaluation and appeal processes of the University Provost and President.

B. Department Non-Tenure Track Promotion Committees

- The Department Non-Tenure Track Promotion Committee consists of all tenured Faculty
- and all NTT Faculty of senior rank and above (see Appendix B) in the Department, except
- the Department Chair and any members of the Department serving in a position that will
- review the candidate's promotion application at the College or University levels.
- Departments may operate through a system of subcommittees that initially review and
- evaluate each candidate's credentials. All final recommendations must be made by the
- committee of the whole. The committee of the whole must meet to discuss and vote on its
- final recommendation. The letter from the Department committee of the whole must be
- signed by the committee Chair and all committee members who agree with the
- recommendation. Committee members who do not sign this recommendation must provide
- a signed separate letter (minority report) indicating their recommendation and supporting
- rationale. Members of the committee must not be identified to the candidate; therefore, the
- signatures must appear on a separate page so that they can be removed when the
- candidate is provided with his or her copy of the committee's report(s).
- 141 Faculty of equal or lower rank to the candidate's current rank may not vote on the final
- recommendation of the committee of the whole. In consultation with the Department Chair,
- the Dean will augment the Department promotion committee with NTT members from
- other Departments when the home Department does not have a sufficient number of
- Faculty to constitute a committee of at least three members, with at least one being tenured
- and one being NTT Faculty.

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C. College Non-Tenure Track Promotion Committee

- 148 The College Non-Tenure Track Promotion-Committee consists of three (3) tenured regular
- Faculty members, one (1) from each School, and three (3) non-tenure track regular Faculty
- members with the rank of Senior Lecturer, Principal Senior Lecturer, Senior Academic
- Professional, or Principal Academic Professional, one (1) from each School. Members of this
- committee must recuse themselves from their School's Non-Tenure-Track Promotion
- 153 Committee during their term of service. Faculty of equal or lower rank to the candidate's
- current rank may not vote on the final recommendation of the committee of the whole.
- 155 The members of the committee shall be elected by the Faculty at a Faculty meeting.
- 156 Members of the committee shall hold staggered two-year terms.

D. Written Notifications of Recommendations to Candidate

- 158 The Department Chair will provide a copy of the Department committee's report and any
- minority reports to the candidate as soon as it is received. The Department Chair will
- provide a copy of his or her report to the candidate when it is forwarded to the College
- Non-Tenure Track Promotion Committee. The Dean's Office will provide the candidate a
- copy of the College committee's report. The Dean's Office will provide the candidate a
- written notice of the outcome of the review and a copy of its report. Minority reports, if
- they exist, will also be included. The reports, including minority reports, should remove the
- signature page or section which identifies committee members by name. As outlined above,
- the candidate has the right to respond in writing to the Department committee's report, the
- 167 Chair's report, and the College committee's report, within three business days of receiving
- the reports, and copies of the candidate's response(s) will be included in the material
- 169 reviewed at all higher levels.

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- 170 The candidate's written response to recommendations of the Department committee will
- be submitted to the Chair, who will then forward them to the Dean's Office. The candidate's
- response to the Chair's report and/or the College committee's report will be submitted to
- the Dean's Office (with copy to the Department Chair). Following receipt of the Dean's
- 174 recommendation, if negative, the candidate has ten business days to submit an appeal to
- the Provost, as outlined herein.

176 IV. UNIVERSITY-LEVEL PROMOTION REVIEW AND APPEALS

177 A. Provost's Review:

- 178 The Provost will conduct an independent review of the materials forwarded by the Dean
- and any other related materials directly relevant to the NTT Faculty member's candidacy
- 180 for promotion, also applying the guidelines, norms, and expectations for the University,
- 181 College, and Department, and make his/her promotion recommendation.
- The Provost will make a recommendation in each case, forward the recommendations to
- the President, and notify the Dean. Within three business days after receiving notice of the
- Provost's recommendation, the Dean shall notify the candidate of the Provost's
- 185 recommendation.
- 186 Before forwarding a negative recommendation to the President, the Provost will consult
- with the Dean. In response to the query from the Provost, the Dean may gather additional
- information from the candidate, the Department Chair, the Department or College
- committees, and other materials directly relevant to the NTT Faculty member's candidacy.

190 The Dean will notify the candidate and Department Chair of his/her reply to the Provost.

B. President's Review:

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- The President will conduct an independent review of the candidate's dossier, related
- materials and recommendations, and any other material directly relevant to the NTT
- 194 Faculty member's candidacy, also applying the guidelines, norms, and expectations for the
- 195 University, College, and Department, and make his/her promotion decision. The decision
- 196 will be communicated to the Dean who shall notify the candidate within three business
- days after receiving notice of the President's decision.

C. Appeals to the Provost

- 199 A candidate may appeal to the Provost a negative recommendation by the Dean. Upon
- receipt of the Dean's negative recommendation, the candidate shall have at least ten
- business days to appeal the negative recommendation to the Provost. The grounds for
- appeal shall only be those that involve errors of due process. These would include
- 203 procedural errors such as failure to receive notification at each stage of review. Errors of
- due process would also include substantive errors such as arbitrariness, capriciousness,
- and discrimination, as well as bias and other forms of nonprofessional judgment on the
- part of any person or group involved in the promotion review. In reviewing the appeal, the
- 207 Provost may gather additional information pertaining to the appeal from the candidate, the
- Dean, the Department Chair, the Department committee, and other appropriate individuals
- inside or outside the University. By the date specified in the NTT promotional manual
- calendar, the Provost shall provide the candidate and the Dean with a written decision,
- including a statement of the bases upon which the appeal is supported or rejected.

212 **D. Appeals to the President**

- A candidate may appeal to the President a negative recommendation by the Provost or a
- 214 decision by the Provost rejecting the candidate's appeal to the Provost. The appeal to the
- 215 President shall conform to the principles and processes stated above for appeals to the
- 216 Provost. By the date specified in the promotion manual calendar, the President shall
- 217 provide the Provost, the Dean and the candidate a written decision including a statement of
- 218 the bases upon which the candidate's appeal is supported or rejected.

V. LECTURER REVIEW

- There are five types of structured reviews for Lecturers:
 - annual review leading to re-appointment,
- third-year review,
 - fifth-year review with promotion to Senior Lecturer,
- subsequent review with promotion to Principal Senior Lecturer, and
- post-promotion cumulative review (five-year structured review).
- In these reviews, the primary consideration is contributions in teaching and service, with
- consideration given to contributions in the area of research and creative activity.

228 A. Annual Review

- 229 An appointment to a Lecturer position is for a one-year period. Lecturers are reviewed on
- an annual basis as described in the College of the Arts Annual Evaluation of Regular Faculty
- 231 policy.

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B. Third-Year Review

- The third-year review provides a cumulative analysis of the quality and extent of teaching
- and service contributions. Lecturers in their third year will provide all required materials
- 235 to the Department Chair.
- The Department Chair will provide this material to a Department committee. This is an
- elected committee composed of at least three Faculty, which must include both tenured
- 238 Faculty and Senior Lecturers or Principal Senior Lecturers. This committee will use the
- 239 Department NTT Faculty review guidelines to provide a written assessment of
- 240 effectiveness in teaching and service to the Department Chair.
- 241 The Department Chair will provide a written assessment of the candidate's effectiveness in
- teaching and service, as well as an assessment of the Department's need for this position.
- 243 The Chair will forward all materials, the committee report, and his/her comments to the
- 244 Dean's Office.
- 245 The Dean's Office will evaluate the material and provide to the candidate its decision
- regarding reappointment by the date designated by the Board of Regents for contract
- renewal. After completion of all assessments, a conference will be held between the
- Department Chair, the Dean, and the Faculty member to discuss the results of the review
- and to make further recommendations to the Faculty member.

C. Lecturer Promotion Reviews

1. Criteria for Promotion:

- a. Terms of Evaluation: Candidates will be evaluated as having met or not met the standards for promotion in the categories of teaching and service using the evaluative terms *outstanding*, *excellent*, *very good*, *good*, *fair*, and *poor*. The exact terms that represent the standard in teaching and service for promotion are specified under items b and c below. The evaluations will take into account expectations appropriate to the rank under consideration, the standards of the candidate's discipline, and the mission and resources of the Department. Guidelines for the application of the terms *outstanding*, *excellent*, *very good*, etc., as they apply within the candidate's field are specified in each Department's NTT Faculty review guidelines.
- b. Promotion to Senior Lecturer: Lecturers in their fifth year of service must be considered for promotion to the rank of Senior Lecturer (to begin in the seventh year of service). Lecturers that do not meet the standards for promotion after five years will be terminated at the end of their sixth year.
 - For promotion to the rank of Senior Lecturer, the candidate must demonstrate a level of competence and effectiveness in teaching that is evaluated as at least *excellent*. Additionally, the candidate must provide a level of assigned service to the Department, College, University, and/or to the professional and practice

- community that is evaluated as at least *very good*, which meets the University standard for promotion to the rank of Senior Lecturer.
 - c. Promotion to Principal Senior Lecturer: Senior Lecturers in their fifth year in rank or higher may be considered for promotion to the rank of Principal Senior Lecturer (to take effect at the beginning of the subsequent fall semester).
 - For promotion to the rank of Principal Senior Lecturer, the candidate must demonstrate a sustained level of competence and effectiveness in teaching that is evaluated as at least *excellent* with continued growth in the time period since the last promotion. Additionally, the candidate must provide a level of assigned service to the Department, College, University, and/or to the professional and practice community that is evaluated as at least *very good*, which meets the University standard for promotion to the rank of Principal Senior Lecturer.
 - 2. <u>Promotion Review Process:</u> The Dean's Office will notify all candidates of their eligibility for promotion (with a copy to the Department Chair). Those seeking promotion to Senior Lecturer or Principal Senior Lecturer will provide all required materials to the Department Chair.
 - The Department Chair will provide the Department NTT Promotion committee with this material. See section III.B above for a description of the composition of the Department NTT Promotion Committee.
 - The Department NTT promotion committee will use the Department's NTT Faculty review guidelines to provide a written report, which includes an assessment of the Lecturer's effectiveness in teaching and service and a clear indication of having met or not met the standard for promotion, to the Department Chair, along with any minority reports.
 - The Department Chair will provide a written report, which includes an assessment of the Lecturer's effectiveness in teaching and service, a clear indication of having met or not met the standard for promotion, and an assessment of the Department's need for this position. The Department Chair will forward all review materials (i.e., his or her report, the committee report, any minority reports, and any responses from the candidate) to the Dean's Office, which will forward these materials to the College NTT Promotion Committee.
 - The College NTT Promotion Committee will review the material and make a recommendation to the Dean.
- The Dean will review the material and submit the College's final recommendation to the University Provost.
- See Section IV above for information on the evaluation and appeal processes of the University Provost and President.

D. Scope of Evaluations:

1. <u>Evaluation of Teaching:</u> Evaluation of teaching effectiveness will use the criteria of the College's Policy on Assessment of Teaching Effectiveness for Full-time Faculty. The specific nature of each Lecturer's teaching activities may vary as a function of the

mission of the Department. Thus, evaluators will assess the teaching effectiveness of Lecturers as it relates to their Department's mission. Among the factors that evaluators should consider in their assessments are the following:

- a. Quality of course content: The quality of course content will be evaluated through review of syllabi, examinations, web pages, and other supplementary materials. Syllabi should be reviewed for conformity with University guidelines, reading assignments appropriate to course level, and catalog description. Course materials should also be assessed for their appropriateness in relation to the current state of knowledge in the field. Lecturers may provide supplemental materials, such as customized texts, handouts, software, and other relevant information. In Departments that give standardized and/or Department examinations, scores on these examinations should be included for review. Credit should also be given to Faculty whose courses are structured in ways that cultivate curiosity, creativity, and critical acumen in their students.
- b. Development of new courses or curricula: Evaluation will include the effective development and execution of new courses, significant involvement in the development of new teaching programs, and the use of new teaching techniques and practices, if these are part of the responsibilities of the Faculty member
- c. Student evaluations: The review will include student evaluation scores, in the context of the range of scores for specific courses and for similar level courses (i.e., 1000, 2000, etc.) both within the Department and within the subject area. The information will also include other important variables, such as class size, whether the course is required or an elective, the response rate on the evaluations, and number of students enrolled in the course. In general, evaluations are indicators of student perceptions. The evaluations will be judged in the context of other information and should not be the sole basis for evaluating teaching effectiveness or for making fine-grained distinctions.
- d. Direction of students: The extent and quality of Faculty efforts in the direction of student projects and academic activities, such as independent studies, practica, theses, performances, and recitals will be considered. The effectiveness of these efforts will be judged by such outcomes as student success in acceptance to graduate or professional programs, scores on national examinations, special awards, accomplishments, or achievements.
- e. Additional methods: Departments may consider developing additional assessment criteria or methods, such as peer observation of teaching, which may vary as specified in Department guidelines.
- 2. <u>Evaluation of Service</u>: Contributions in the area of service include high-quality instructional service to colleagues, contributions to the Department, College, or University, professional service, and community and public service. Service for Lecturers is dependent on the mission as defined by the Department, but it is generally at the Department, College, University, Professional, and/or Community level.
- 3. Additional Considerations: Other factors and contributions that may be considered as

part of the Lecturer review include the following:

- a. Research and creative activity (if appropriate): Activities such as publications of their research and scholarship, creative activities, performances, exhibitions, conference presentations, grants applied for and/or funded, and collaborations, as they bear on the Lecturer's knowledge as it relates to teaching performance, may be considered if specified in the Department's guidelines.
- b. Role within the Department: Since needs of the Department often change, the role of the Lecturers also may change. For example, if student enrollments shift, the College or Department may need to offer more sections of a course, or fewer. The review will include the role of the Lecturer within the context of the mission of the Department and the ability of the Lecturer to fulfill changing needs of the Department effectively.

E. Evaluation Materials

 For the third-year review and Lecturer promotion reviews, candidates prepare a professional dossier containing the information on teaching and service indicated below for the review period appropriate to the specific review.

Definition of Review Periods:

- For third-year review, the dossier covers the period since the hire date.
- For the fifth-year review leading to promotion to Senior Lecturer, the dossier includes student evaluations from the last three academic years¹, while the rest of the dossier covers the period since the hire date.
- For the review leading to promotion to Principal Senior Lecturer, the dossier covers the last five academic years.
- For the five-year post-promotion structured review, the dossier includes annual reports from the last five calendar years, while the rest of the dossier covers the last five academic years. Further information on the materials to be submitted for five-year post-promotion structured reviews is detailed in section V.F below.

Specific Instructions for the Physical Format of the Dossier:

- All materials must be placed in three-ring, large capacity binders. Each section in each of the evaluative categories must be clearly separated by dividers.
- Staples or paper clips must not be used in the compilation of materials
- Binders should not be filled to capacity
- Do not insert materials in plastic sleeves/sheet protectors. Plastic sleeves can be used only if holes cannot be punched to place in three-ring binders.

¹ The fifth-year review dossier consists of three years of student evaluation data and teaching materials due to several factors. First, it is the policy of the College of the Arts that Department and College reviewers do not consider a candidate's student evaluations from their first academic year at Georgia State as part of the promotion review, so candidates are instructed to exclude this information from their dossier. Second, because fifth-year promotion reviews begin during the fall semester of each candidate's fifth year, student evaluations are not available from either semester of the candidate's fifth year.

Contents of the Dossier:

- 1. Cover Page: Includes the candidate's name, Department, and date of appointment at Georgia State University.
 - 2. Curriculum Vitae
 - 3. Summary of Essential Functions / Responsibilities (**submitted by the Department Chair**): Describe the candidate's primary responsibilities under the general categories of Teaching and Service.
 - 4. Information on Teaching
 - a. Statement of Teaching Interests, Goals, and Qualifications (2-3 pages): Each Lecturer should briefly describe an educational philosophy and a set of goals and objectives in teaching and service projects, and a list of courses and/or areas they believe they are qualified to teach.
 - b. Courses Taught during the review period (include summers, if applicable):
 - i. Using the format in Appendix A, the candidate must provide a list of courses taught during the review period (see section V.E above for guidelines on the number of years of review materials to submit for the different review periods).
 - ii. The candidate must also provide a copy of the most recent syllabus used for each course taught during the time period. Only one syllabus for each different course is required.
 - iii. The development of new courses or significant revisions to existing courses should be noted in this section.
 - iv. The candidate should indicate if the course is part of a study abroad, international student exchange program, signature experience, or field experience.
 - c. Student Evaluations (include summers, if applicable): The candidate must include a summary of Student Evaluation of Instructor (SEI) numerical scores (no comments), which the College will assist the candidate in obtaining, and student evaluations from GoSOLAR (with written comments) for the review period at Georgia State University.
 - d. Teaching Funding (if appropriate): Describe all intramural and extramural funding of teaching initiatives.
 - e. Honors or Special Recognition for Teaching: These should be listed with a brief description of each.
 - f. Independent Studies, Practica, Honors Theses, Non-thesis projects, Theses, and Dissertations: These items should be listed as follows with the student name, title, and date completed for each:
 - i. Independent Studies
 - ii. Practica

- 427 iii. Honors Theses
 - iv. Non-Thesis Projects
- v. Theses

- vi. Dissertations
 - g. Published Materials: Textbooks and published articles related to the candidate's teaching. A copy of each must be provided.
 - h. Additional Evidence of Teaching Effectiveness: The candidate may include other materials not specified above. Such evidence of teaching effectiveness may include, but is not limited to, peer evaluations, students' passing rates on licensure/certification examinations, use of technology for teaching, program accreditation review results, and student accomplishments.

5. Information on Service

- a. Assigned service roles: indicate administrative roles or other service duties that are ongoing assignments (e.g., Undergraduate Chair, Program Coordinator).
- b. Assistance to Colleagues: guest-lecturing, consulting about educational and teaching issues (e.g., curriculum development, mode of presentation, or assistance with new teaching technology), providing advice about or reviews of manuscripts or grant applications.
- c. Contributions to the Department, College, and University: student advisement and mentoring, memberships on Department/College/University committees, development of teaching and service programs.
- d. Professional service (if appropriate): memberships on professional societies, advisory boards, etc.
- e. Community and public service (if appropriate): lectures, speeches, presentations, performances, short courses, assistance to governmental agencies.
- 6. Information on research and creative activities (if appropriate): Department guidelines may specify that a Faculty member can provide information on research and creative activities, such as publications of their research and scholarship, creative activities, performances, exhibitions, conference presentations, grants applied for and/or funded, and collaborations, as they bear on the Lecturer's knowledge of the field or teaching performance.

F. Five-year Structured Review

As stated in the University manual, structured reviews are intended to provide a longer-term perspective than is usually provided by an annual review. Faculty members who have been promoted to the Senior Lecturer or Principal Senior Lecturer ranks will go through a structured cumulative review in the fifth year following promotion and in each fifth year following the previous cumulative review (NTT Post-Promotion Review). Senior Lecturers are exempted from this requirement in the year(s) that they are considered for promotion to the Principal Senior Lecturer rank. In addition, faculty members who plan to retire in the

- same academic year of their scheduled structured review and formally notify the Office of
- the Dean prior to January of their scheduled review year are exempt from review.
- Senior Lecturers or Principal Senior Lecturers will submit to the Department Chair a
- dossier that includes an updated curriculum vitae, annual reports from the last five years, a
- 471 teaching portfolio, documentation of service performed, as well as a two- to three-page
- 472 statement that summarizes accomplishments in teaching and service over the past five
- 473 years and outlines plans for the next five years. Copies of publications/creative works can
- be included when appropriate. To this, the Department Chair will append a Summary of
- 475 Essential Functions/Responsibilities describing the candidate's primary responsibilities
- 476 under the general categories of Teaching and Service.
- 477 The Department Chair will provide this material to a Department committee. This is an
- 478 elected committee composed of at least three tenured Faculty and Principal Senior
- Lecturers (with representation from each rank required). This committee will provide a
- 480 written assessment of effectiveness in teaching and service to the Department Chair.
- The Department Chair will provide a written assessment of the Faculty member's
- effectiveness in teaching and service, as well as an assessment of the Department's need for
- 483 this position. The Department Chair will forward all review materials (i.e., his or her
- recommendation and the committee report) to the Dean's Office.
- The assessment statements of the Department committee and Department Chair will
- address whether the Faculty member is performing at the level necessary for
- reappointment, whether the Faculty member is progressing toward promotion, and they
- 488 will identify opportunities that will enable the candidate to reach his/her full potential in
- terms of contribution to the University. The Dean's Office will evaluate the material and
- 490 provide any necessary response by the date designated by the Board of Regents for
- 491 contract renewal. After completion of all assessments, a conference will be held between
- the Department Chair, the Dean, and the Faculty member to discuss the results of the
- review and to make further recommendations to the Faculty member.

G. Lecturers Hired at the Senior Level

- 495 All Lecturers whose initial appointment at GSU is at the senior level or above (see Appendix
- 496 B) shall have a third-year review and subsequent reviews every five years.

VI. ACADEMIC PROFESSIONAL REVIEW

- 498 According to Board of Regents requirements, the Academic Professional title "may not be
- assigned to a position where the teaching and research responsibilities total 50% or more
- of the total assignment" (BOR Policy Manual 803.10). Therefore, the primary consideration
- in the third- and fifth-year reviews of Academic Professionals is service contributions.
- 502 Contributions in teaching will be considered as part of the review if a candidate's workload
- 503 includes teaching. Other activities, such as publications of research, creative activities, and
- scholarship, are not required; however, Departments have the option of considering such
- activities in the reviews, particularly as they bear on service or teaching effectiveness.
- There are five types of structured reviews of Academic Professionals in use in the College
- of the Arts:

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- annual review leading to re-appointment,
- third-year review,
- fifth-year review with promotion to Senior Academic Professional and reappointment,
- subsequent review with promotion to Principal Academic Professional and reappointment, and
- post-promotion cumulative review (five-year structured review).
- In each Academic Professional review, the primary consideration is contributions in service
- and teaching, with consideration given to contributions in the area of research and creative
- 517 activity.

518 A. Annual Review

- An appointment to an Academic Professional position is for a one-year period. Academic
- Professionals are reviewed on an annual basis as described in the College of the Arts
- 521 Annual Evaluation of Regular Faculty policy.

522 B. Third-Year Review

- 523 The third-year review provides a cumulative analysis of the quality and extent of service
- and teaching contributions. Academic Professionals in their third year will provide all
- required materials to the Department Chair.
- 526 The Department Chair will provide this material to a Department committee. This is an
- elected committee composed of at least three Faculty, which must include both tenured
- Faculty and NTT Faculty at senior rank or above (see Appendix B). This committee will use
- 529 the Department NTT Faculty review guidelines to provide a written assessment of
- effectiveness in service and teaching to the Department Chair.
- The Department Chair will provide a written assessment of the Academic Professional's
- effectiveness in service and teaching, as well as an assessment of the Department's need for
- 533 this position. The Department Chair will forward all review materials (i.e., his or her
- recommendation and the Department committee report) to the Dean's Office.
- The Dean's Office will evaluate the material and provide to the Academic Professional its
- decision regarding reappointment by the date designated by the Board of Regents for
- contract renewal. After completion of all assessments, a conference will be held between
- the Department Chair, the Dean, and the Faculty member to discuss the results of the
- review and to make further recommendations to the Faculty member.

C. Promotion Review

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1. <u>Criteria for Promotion:</u>

a. Terms of Evaluation: Candidates will be evaluated as having met or not met the standards for promotion in the categories of service and teaching (if the candidate's workload includes teaching) using the evaluative terms *outstanding*, *excellent*, *very good*, *good*, *fair*, and *poor*. The exact terms that represent the standard in service and teaching (if applicable) for promotion are specified under item b below. The

evaluations will take into account expectations appropriate to the rank under consideration, the standards of the candidate's discipline, and the mission and resources of the Department. Guidelines for the application of the terms *outstanding*, *excellent*, *very good*, etc. as they apply within the candidate's field are specified in each Department's NTT Faculty Review Guidelines.

- b. Promotion to Senior Academic Professional: Academic Professionals in their fifth year of service may be considered for promotion to the rank of Senior Academic Professional (to begin in the sixth year of service). Academic Professionals not reappointed after five years will be terminated at the end of their sixth year.
 - For promotion to the rank of Senior Academic Professional, the candidate must provide a sustained level of service to the Department, College and/or University, and/or to the professional and practice community that is evaluated as at least *excellent*. If the candidate's workload includes teaching, the candidate must demonstrate at least *very good* teaching, which meets the University standard for promotion to Senior Academic Professional.
- c. Promotion to Principal Academic Professional: Senior Academic Professionals in their fifth year in rank or higher may be considered for promotion to the rank of Principal Academic Professional (to take effect at the beginning of the subsequent fall semester).
 - For promotion to the rank of Principal Academic Professional, the candidate must demonstrate a sustained level of competence and effectiveness in service that is evaluated as at least *excellent* with continued growth in the time period since the last promotion. If the candidate's workload includes teaching, the candidate must demonstrate at least *very good* teaching, which meets the University standard for promotion to Principal Academic Professional.
- 2. <u>Promotion Review Process:</u> The Dean's Office will notify all candidates of their eligibility for promotion (with a copy to the Department Chair). Those seeking promotion to Senior Academic Professional or Principal Academic Professional will provide all required materials to the Department Chair.
 - The Department Chair will provide a Department committee with this material. See section III.B above for a description of the committee's composition. The Department committee will use the Department's NTT Faculty Review Guidelines to provide a written report, which includes an assessment of Academic Professional's effectiveness in service and teaching (if applicable) and a clear indication of having met or not met the standard for promotion, to the Department Chair, along with any minority reports.
 - The Department Chair will provide a written report, which includes an assessment of the Academic Professional's effectiveness in service and teaching (if applicable), a clear indication of having met or not met the standard for promotion, and an assessment of the Department's need for this position. The Department Chair will forward all review materials (i.e., his or her report, the committee report, any minority reports, and any responses from the candidate) to the Dean's Office, which will forward the materials to the College NTT promotion committee.

- The College NTT promotion committee will review the material and make a recommendation to the Dean.
- The Dean will review the material and submit the College's final recommendation to the University Provost.
- See Section IV above for information on the evaluation and appeal processes of the University Provost and President.

D. Scope of Evaluations

- 1. Evaluation of Service: Given the variation in service roles assigned to Academic Professionals across the College, evaluators will assess the service performance of Academic Professionals primarily as it relates to the Department's mission and the specific service responsibilities of the candidate. When and where applicable, reviewers should evaluate the candidate using the following criteria and any provided in Department guidelines.
 - a. Job Knowledge: Knowledge, skills, and abilities as they relate to performing job requirements.
 - b. Productivity: The amount of work successfully produced while maintaining standards and meeting deadlines.
 - c. Accuracy and Quality: The extent to which he/she performs major job duties or responsibilities correctly and completely; professionalism and thoroughness of work produced.
 - d. Adaptability: Ability to master new techniques or duties and understand explanations as required for the position. Demonstrates flexibility in meeting the changing demands of the work environment.
 - e. Organizational Skills: Ability to plan, arrange, and complete work priorities effectively and efficiently; makes efficient use of available resources to optimize productivity.
 - f. Communication Skills: Ability to express ideas effectively through verbal and written communication. Ability to communicate in a clear concise manner. Ability to listen and ask appropriate questions.
 - g. Teamwork: Develops and maintains effective relationships with co-workers, supervisor, Faculty, staff, students, and others in the handling of job duties.
 - h. Supervisory Ability: Ability to delegate, monitor work, follow up with, coach, communicate with, reward, and discipline others effectively. Demonstrates understanding of and uses appropriate financial and budget controls. Adheres to safety requirements and practices, and communicates hazards to other employees in the workplace.
 - i. Additional Criteria: Departments may consider developing additional assessment criteria or methods, which may vary as specified in Department guidelines.
- 2. <u>Evaluation of Teaching (if applicable)</u>: Reviewers should evaluate the candidate's teaching contributions using the criteria listed below and those provided in

Department guidelines. The specific nature of the teaching duties assigned to Academic Professionals may vary across or within Departments. Thus, evaluators should assess the teaching effectiveness of Academic Professionals primarily as it relates to the Department's mission and the specific teaching responsibilities of the candidate.

- a. Quality of Course Content: The quality of a course will be evaluated through review of syllabi, examinations, web pages, and other supplementary materials. Syllabi should be reviewed for conformity with University guidelines, reading assignments appropriate to course level and catalog description. Course materials should also be assessed for their appropriateness in relation to the current state of knowledge in the field. Academic Professionals may provide supplemental materials, such as customized texts, handouts, software, and other relevant information. In Departments that give standardized and/or Department examinations, scores on these examinations should be included for review. Credit should also be given to Faculty whose courses are structured in ways that cultivate curiosity, creativity, and critical acumen in their students.
- b. New Courses/Teaching Programs Developed: Evaluation will include the effective development and execution of new courses, significant involvement in the development of new teaching programs, and the use of new teaching techniques and practices, if these are part of the responsibilities of the Faculty member.
- c. Student Evaluations: The review will include student evaluation scores, in the context of the range of scores for specific courses and for similar level courses (i.e., 1000, 2000, etc.) both within the Department and within the subject area. The information will also include other important variables, such as class size, whether the course is required or an elective, the response rate on the evaluations, and number of students enrolled in the course. In general, evaluations are indicators of student perceptions. The evaluations will be judged in the context of other information and should not be the sole basis for evaluating teaching effectiveness or for making fine-grained distinctions.
- d. Additional Criteria: Departments may consider developing additional assessment criteria or methods, which may vary as specified in Department guidelines.
- 3. <u>Additional Considerations</u>: Other factors and contributions that may be considered as part of the Academic Professional review include the following:
 - a. Role within the Department: Since needs of the Department often change, the role of the Academic Professionals also may change. For example, if student enrollments shift, the College or Department may need to offer more sections of a course, or fewer. The review will include the role of the Academic Professional within the context of the mission of the Department and the ability of the Academic Professional to fulfill changing needs of the Department effectively.
 - b. Research and creative activities (if appropriate): Activities such as publications of their research and scholarship, creative activities, performances, exhibitions, conference presentations, grants applied for and/or funded, and collaborations, as they bear on the Academic Professional's knowledge as it relates to teaching

performance, may be considered if specified in the Department guidelines.

E. Evaluation Materials

For the third-year review and Academic Professional promotion review, candidates prepare a professional dossier containing the information on teaching and service indicated below for the review period appropriate to the specific review.

Definition of Review Periods:

- For third-year review, the dossier covers the period since the hire date.
- For the fifth-year review leading to promotion to Senior Academic Professional, the dossier includes student evaluations from the last three academic years (if the candidate's workload includes teaching)², while the rest of the dossier covers the period since the hire date.
- For the review leading to promotion to Principal Academic Professional, the dossier covers the last five academic years.
- For the five-year post-promotion structured review, the dossier includes annual reports from the last five calendar years, while the rest of the dossier covers the last five academic years. Further information on the materials to be submitted for five-year post-promotion reviews is detailed in section VI.F below.

Specific Instructions for the Physical Format of the Dossier:

- All materials must be placed in three-ring, large capacity binders. Each section in each of the evaluative categories must be clearly separated by dividers.
- Staples or paper clips must not be used in the compilation of materials
- Binders should not be filled to capacity
- Do not insert materials in plastic sleeves/sheet protectors. Plastic sleeves can be used only if holes cannot be punched to place in three-ring binders.

Contents of the Dossier:

- 1. Cover Page: Includes the candidate's name, Department, and date of appointment at Georgia State University.
- 2. Curriculum Vitae.
 - 3. Summary of Essential Functions / Responsibilities (**submitted by the Department Chair**): Describe the candidate's primary responsibilities under the general categories of Service and Teaching.
 - 4. Information on Service: Describe objectives and contributions in the following service

² The fifth-year review dossier consists of three years of student evaluation data due to several factors. First, it is the policy of the College of the Arts that Department and College reviewers do not consider a candidate's student evaluations from their first academic year at Georgia State as part of the promotion review, so candidates are instructed to exclude this information from their dossier. Second, because fifth-year promotion reviews begin during the fall semester of each candidate's fifth year, student evaluations are not available from either semester of the candidate's fifth year.

areas. Candidates are expected to address only those areas that apply.

- a. Facility / Services Management: Describe activities such as managing instructional laboratories or instructional technology support programs.
- b. Supervisory/Mentoring Activities: Describe activities such as supervision of graduate laboratory or teaching assistants, student assistants, staff, or part-time instructors.
- c. Teaching Service (if applicable): Describe activities such as coordination of clinical practica or field experiences, leading and/or supporting teaching training programs, or providing support for the development of new courses and programs.
- d. Academic Advisement and Curriculum: Describe activities such as providing academic advisement or managing advisement/recruitment programs, maintaining curriculum, course scheduling, or contributing to program evaluation and certification processes.
- e. Contributions to the Department, College, or University: List memberships on Department/College/University committees; participation in University-sanctioned outreach or service activities beyond the scope of regular job duties.
- f. Professional Service: List memberships in professional societies, advisory boards, etc.
- g. Community and Public Service: List non-University lectures, speeches, presentations, performances, short courses, assistance to governmental agencies.
- h. Published Materials: Include copies of articles, training manuals, creative activities, or any other material publications related to the candidate's service responsibilities.
- i. Additional Service: List service contributions not related to the categories above. Examples may include reviewing manuscripts or grant applications, contributions in support of research programs, or sponsored funding gained relating to service responsibilities. Departments may specify additional requirements in the Department guidelines.
- 5. Information on Teaching (if applicable):
 - a. Statement of Teaching Interests, Goals, and Qualifications: Describe educational philosophy within the context of assigned teaching duties. Candidates should indicate all courses he/she is qualified to teach as an instructor of record and generally describe other types of contributions made in the classroom.
 - b. Courses Taught During the Review Period (include summers, if applicable): Using the format in Appendix A, the candidate must provide a list of courses taught during the relevant review period and the role he/she played in the course (i.e., instructor of record, assisted instructor, laboratory instructor, assisted laboratory instructor, etc.). For each course where the candidate was

- the instructor of record, please provide a copy of the most recent syllabus used for the course. Only one syllabus for each different course is required. Please indicate on the syllabus if it was solely the work of the candidate, developed collaboratively, or based largely on the work of another Faculty member.
 - c. Student Evaluations (include summers, if applicable): Summary of questions 1-17 on the student questionnaire must be provided for courses taught as the instructor of record during the review period. The candidate must provide standardized summaries of student evaluation numerical scores from a crystal report (no comments) and student evaluations from GoSOLAR (with written comments). Department Chairs will assist the candidates in obtaining these materials.
 - d. New Courses/Teaching Programs Developed: List and generally describe role played in the development of the course.
 - e. Teaching Funding: Describe all intramural and extramural funding of teaching initiatives.
 - f. Published Materials: Include copies of articles, textbooks, creative activities, or any other material publications related to the candidate's instruction.
 - g. Additional Teaching: Include other activities directly related to classroom teaching. Examples may include honors or special recognition for teaching. Departments may specify additional requirements in the Department guidelines.
 - 6. Research and creative activities (if appropriate): Departments may specify that a Faculty member can provide information on research and creative activities, such as publications of their research and scholarship, creative activities, performances, exhibitions, conference presentations, grants applied for and/or funded, and collaborations, as they bear on the Academic Professional's service and teaching responsibilities.

F. Five-year Structured Review

As described in the University manual, structured reviews are intended to provide a longer-term perspective than is usually provided by an annual review. Faculty members who have been promoted to the Senior Academic Professional or Principal Academic Professional ranks will go through a structured cumulative review in the fifth year following promotion and in each fifth year following the previous cumulative review (NTT Post-Promotion Review). Senior Academic Professionals are exempted from this requirement in the year(s) that they are considered for promotion to the Principal Academic Professional rank. In addition, faculty members who plan to retire in the same academic year of their scheduled structured review and formally notify the Office of the Dean prior to January of their scheduled review year are exempt from review. Senior Academic Professionals or Principal Academic Professionals will submit to the Department Chair a dossier that includes an updated curriculum vitae, annual reports from the last five years, a teaching portfolio (if applicable), documentation of service performed, as well as a two- to three-page statement that summarizes accomplishments in teaching (if applicable)

and service over the past five years and outlines plans for the next five years. Copies of

- publications/creative works can be included when appropriate. To this, the Department
- 787 Chair will append a Summary of Essential Functions/Responsibilities describing the
- 788 candidate's primary responsibilities under the general categories of Teaching (if
- 789 applicable) and Service.

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- 790 The Department Chair will provide this material to a Department committee. This is a
- committee composed of at least three tenured Faculty and Principal Academic
- 792 Professionals (with representation from each rank required). This committee will use the
- 793 Department NTT Faculty Review Guidelines to provide a written assessment of
- 794 effectiveness in service and teaching (if applicable) to the Department Chair.
- 795 The Department Chair will provide a written assessment of the Faculty member's
- effectiveness in service and teaching (if applicable), as well as an assessment of the
- 797 Department's need for this position. The Department Chair will forward all review
- materials (i.e., his or her recommendation and the committee report) to the Dean's Office.
- 799 The assessment statements of the Department committee and Department Chair will
- address whether the Faculty member is performing at the level necessary for
- reappointment, and they will identify opportunities that will enable the candidate to reach
- his/her full potential in terms of contribution to the University. The Dean's Office will
- 803 evaluate the material and provide any necessary response by the date designated by the
- 804 Board of Regents for contract renewal. After completion of all assessments, a conference
- will be held between the Chair, the Dean, and the Faculty member to discuss the results of
- the review and to make further recommendations to the Faculty member.

G. Academic Professionals Hired at the Rank of Senior Academic Professional

- All Academic Professionals whose initial appointment at GSU is at the senior level or above
- (see Appendix B) shall have a third-year review and subsequent reviews every five years.

APPENDIX A:

SUMMARY OF COURSES TAUGHT, 20XX TO 20XX

Please provide a list of courses taught and the role he/she played in the course (i.e., instructor of record, assisted instructor, laboratory instructor, or assisted laboratory instructor).

Semester / year	Course Number	Title	Number of Students	Role
Fall/02	Art 1100	Intro to Art	125	Instructor of Record

APPENDIX B:

NTT RANK EQUIVALENCY CHART FOR THE PURPOSE OF COMPOSING PROMOTION COMMITTEES

COLLEGE OF THE ARTS

	Junior Rank:	Senior Rank:	Highest Rank:
Lecturer Track:	Lecturer	Senior Lecturer	Principal Senior Lecturer
Academic Professional Track:	Academic Professional ³	Senior Academic Professional	Principal Academic Professional

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³ The Academic Professional Associate rank is not used in the College of the Arts, currently.

Appendix C INSTRUCTIONS FOR PREPARING A DIGITAL OR HYBRID DOSSIER

In some instances, a faculty member may choose to submit his/her dossier as a collection of digital files rather than as a set of binders containing paper copies, or as a combination of binders and digital files. With prior approval of the department chair, faculty may follow these guidelines for compiling a digital or hybrid dossier.

I. Contents

Digital dossiers must contain all of the components specified in the University, College, and Department Manuals and Guidelines and must follow the same ordering and numbering requirements as a physical dossier.

The digital dossier should exist on a designated USB flashdrive with files labeled to clarify their contents and placement (see Section III below). The dossier and its folders should be the only files on the USB flashdrive.

II. Formatting

Each section or sub-section of the dossier should be represented by one or more PDF files containing the relevant lists or documentation required in that section. Candidates are encouraged to use compatible audio (WAV, AIFF, MP3, etc.) and video (MP4, MOV, AVI, etc.) formats. Candidates should not combine multiple sections into large PDF files, which become unwieldy for reviewers.

For example: In the Teaching section, a single PDF would contain list of courses, syllabi, student evaluations, teaching portfolio, etc., but it should not be combined with the Service section.

The candidate should organize the dossier so as to minimize the number of individual files while retaining an orderly system that aligns clearly with the categories of Teaching and Service (and Research/Creative Activity, if applicable) outlined in the departmental NTT guidelines.

The chair of the departmental NTT committee will make himself/herself available to advise candidates on the organization of the dossier.

III. Labeling Files

Files within the digital dossier should be labeled with a system of numbers, letters, and short titles to ensure clarity of organization. As with the physical dossier, labeling conventions are designed with the intention of helping candidates present a professional and easily assessable document.

Candidates should use a logical system to designate sections and subsections of the dossier as follows (replace "xx" with numbers that indicate the order in which files should be reviewed, as one would organize components in a physical dossier):

0-xx Front Matter (Contents, CV, statements of goals, etc.)

T-xx Teaching (list of courses, syllabi, student evaluations, teaching portfolio, etc.)

S-xx Service (lists of contributions, etc.)

If applicable: R-xx Research/Creative Activity (lists of activities, supporting documentation)

IV. Submission of Books, CDs, and Other Bulky Materials

In cases where the candidate has one or more large single-author publications for review in the dossier, such as CDs, Books, and feature-length Films, a physical copy of the item may be used instead of creating a PDF or other digital file. In such cases, the candidate would submit the hard copy or copies and flashdrive, packaged together in a 3-ring binder following the departmental guidelines.